

1 Debra I. Grassgreen (CA Bar No. 169978)
2 John D. Fiero (CA Bar No. 136557)
3 Cia H. Mackle (admitted *pro hac vice*)
4 PACHULSKI STANG ZIEHL & JONES LLP
5 One Market Plaza, Spear Tower
6 40th Floor
7 San Francisco, CA 94105-1020
8 Telephone: (415) 263-7000
9 Facsimile: (415) 263-7010
10 E-mail: dgrassgreen@pszjlaw.com
11 jfiero@pszjlaw.com
12 cmackle@pszjlaw.com

13 Counsel for Michael Goldberg, Trustee of the PFI Trust

14
15 **UNITED STATES BANKRUPTCY COURT**
16 **NORTHERN DISTRICT OF CALIFORNIA**
17 **SAN FRANCISCO DIVISION**

18 In re:
19 PROFESSIONAL FINANCIAL INVESTORS,
20 INC., *et al.*,
21
22 Debtors.

23 Case No. 20-30604
24 (Jointly Administered)
25 Chapter 11

26
27 **NOTICE OF RESCHEDULED HEARING**
28 **AND UPDATED RESPONSE DEADLINE**
29 **WITH RESPECT TO FIRST OMNIBUS**
30 **OBJECTION TO CLAIMS**

31 Date: March 3, 2022
32 Time: 10:00 a.m.
33 Judge: Hon. Hannah L. Blumenstiel
34 Place: Telephonic/Video Appearances
35 Only

36
37 **TO THE HONORABLE HANNAH L. BLUMENSTIEL AND CLAIMANTS LISTED ON**
38 **EXHIBIT 1 FOR WHOM INDIVIDUALIZED NOTICES WERE SERVED PURSUANT TO**
39 **PROCEDURES APPROVED BY THE COURT:**

40 On January 7, 2022, the PFI Trust filed its *First Omnibus Objection to Claims (Non-Netted*
41 *Investor Claim Superseded by UOA Claim)* [Docket No. 1068] (the “Omnibus Objection”) with the
42 United States Bankruptcy Court for the Northern District of California (San Francisco Division) (the
43 “Bankruptcy Court”). [A full copy of the Omnibus Objection may be obtained at no cost, as provided
44 below.]

45 By the Omnibus Objection, the PFI Trust seeks to disallow one or more of your Proof(s) of
46 Claim on the grounds that: *the filed Claim did not incorporate applicable netting principles applicable*
47 *to Investor Restitution Claims and was thereafter superseded by the Investor Restitution Claim (the*
48 *“UOA Claim”)* *calculated by FTI and served on the Claimant in connection with the investor claims*

1 process approved by the Court. The PFI Trustee seeks disallowance of the filed Claim in favor of the
2 remaining UOA Claim.

3 **The PFI Trust has elected to extend the hearing date on the Objection from the originally-
4 scheduled date of February 10, 2022, to March 3, 2022, at 10:30 a.m. (Pacific Time) (the
“Omnibus Hearing”). As a result of the continuance of the Omnibus Hearing, your deadline to
5 file a response has also been extended to February 17, 2022.**

6 The Omnibus Hearing will be conducted by videoconference. The courtroom will be closed.
7 All parties who wish to appear at the Hearing should consult the Bankruptcy Court’s website at
8 www.canb.uscourts.gov for information about court operations during the COVID-19 pandemic. The
9 Bankruptcy Court’s website provides information regarding how to arrange a telephonic or video
appearance. If you have any questions regarding how to appear at a court hearing, you may contact
the Bankruptcy Court by calling 888-821-7606 or by using the Live Chat feature on the Bankruptcy
Court’s website.

10 If you **DO NOT oppose** the disallowance or reduction of your Proof(s) of Claim, then you do
11 NOT need to file a written response to the Omnibus Objection and you do NOT need to appear at the
Hearing.

12 If you **DO oppose** the disallowance or reduction of your Proof(s) of Claim, then you **MUST**
13 file a response (a “Response”), in writing, with the Bankruptcy Court, and serve it on the counsel for
14 the PFI Trust at the above-referenced addresses so as to be received by no later than **4:00 p.m. (Pacific
15 Time) on February 17, 2022**. The relief requested in the **Omnibus Objection** may be granted
without a hearing if no opposition is timely filed and served in accordance with the Case
Management Order.

16 Any Response must, at a minimum, include the following: (i) a caption setting forth the name
17 of the Bankruptcy Court, the name of the Debtor, the case number and title of the Omnibus Objection
18 to which the Response is directed; (ii) your name, the assigned number(s) of your Proof(s) of Claim,
19 and an explanation for the amount of the Proof(s) of Claim; (iii) a concise statement setting forth the
20 reasons why the Bankruptcy Court should not sustain the Omnibus Objection, including, without
21 limitation, the specific factual and legal bases upon which you will reply in opposing the Omnibus
22 Objection; (iv) a copy of any other documentation or other evidence of the Proof of Claim, to the
23 extent not already included with the Proof of Claim, upon which you will rely in opposing the Omnibus
24 Objection at the hearing; (v) a declaration under penalty of perjury of a person with personal
knowledge of the relevant facts that support the Response; (vi) your name, address, telephone number,
and/or the name, address, and telephone number of your attorney and/or designated representative to
whom counsel for the Debtors should serve a reply to the Response, if any; and (vii) the name, address,
telephone number, and email address of the party with authority to reconcile, settle, or otherwise
resolve the Omnibus Objection on your behalf, if any.

25 If the Bankruptcy Court does not disallow or reduce your Proof(s) of Claim, then the PFI Trust
26 will still have the right to object to your Proof(s) of Claim on other grounds at a later date. You will
receive a separate notice of any such objection.

27 Copies of the complete Omnibus Objection and other pleadings and documents identified
28 herein can be viewed and/or obtained by: (i) free download from on the Debtors’ approved notice and
claim agent’s website at <https://www.donlinrecano.com/pfi>; (ii) accessing the Bankruptcy

1 Court's website at <http://www.canb.uscourts.gov> [PACER account required], or (iii) by mail (for free)
2 by calling Donlin Recano at 1-877-283-0316 (Toll Free) and asking to have a complete copy of the
3 Omnibus Objection, including all Exhibits mailed to you, or by email at pfiinfo@donlinrecano.com
requesting copies of the Omnibus Objection, including all Exhibits.

4 Dated: January 26, 2022

PACHULSKI STANG ZIEHL & JONES LLP

5 By /s/ John D. Fiero
6 Debra I. Grassgreen
7 John D. Fiero
Cia H. Mackle

8 Attorneys for Michael I. Goldberg,
9 Trustee of the PFI Trust

EXHIBIT 1

ALTHUIZEN FAMILY TRUST, JOHN
ALTHUIZEN AND JEANNE ALTHUIZEN TTEES,
C/O FARLEY LAW OFFICES
ATTN: MARGARET M. FARLEY
101 LUCAS VALLEY RD STE 160
SAN RAFAEL, CA 94903

ANODEA, JUDITH
28 ANTON WAY
NOVATO, CA 94945

ARDON, ANA MARIA
11 HARBOR DR
NOVATO, CA 94945

BABAZADEH, NATHALIE
2611 BROOKS AVE
EL CERRITO, CA 94530

BABBITT, PAUL
5331 EUNICE ST
ROHNERT PARK, CA 94928

BABBITT, PAUL
5574 KENNEDY PLACE
ROHNERT PARK, CA 94928

BERLING, ROBERT
2204 LAGUNA VISTA DR
NOVATO, CA 94945

BOOTH, LINDA
5925 BLACK BEAR RD
WEED, CA 96094

BRADY, DAVID CLAYTON
985 ALAEA ST
MAKAWAO, HI 96768

BRADY, DAVID CLAYTON
7800 DUCHARME AVENUE
LAS VEGAS, NV 89145-4949

CALES, DONNA
101 EAST COLONIAL CT
ROSEVILLE, CA 95661

CAREDIS, JON, A/K/A LACONIA
INVESTMENTS
6275 W. ELDORA AVE
LAS VEGAS, NV 89146

COLE, RICHARD G TTEE OF MIRIAM C
CLARK FAMILY, TRUST BBO ANTHONY
CLARK
6700 BERRYHILL CRT
FORESTVILLE, CA 95436

COLE, RICHARD G AND ALETA J
DRUMMOND TTEE OF THE DRUMMOND
COLE FAMILY TRUST DTD 8/13/98
6793 BERRYHILL CT
FORESTVILLE, CA 95436

COLE, RICHARD G AND JAN L COLE,
TTEES OF THE STANLEY COLE
DECEDENT TRUST
6700 BERRYHILL CT
FORESTVILLE, CA 95436

COLE, RICHARD G TRUSTEE OF MIRIAM
C CLARK, FAMILY TRUST FBO TERESA
CLARK
C/O RICHARD G COLE
6700 BERRYHILL CT
FORESTVILLE, CA 95436

COLE, RICHARD G TTEE OF THE COLE
MARITAL TRUST
6700 BERRYHILL CT
FORESTVILLE, CA 95436

COLE, RICHARD G TTEE OF THE COLE
SURVIVOR TRUST ESTABLISHED UNDER
TRUST AGREEMENT DTD 5/25/89
6700 BERRYHILL CT
FORESTVILLE, CA 95436

CORZINE, STANLEY
1155 11TH STREET, #3
MANHATTAN BEACH, CA 90266

CRUZ, FRANCISCO
11 HARBOR DR
NOVATO, CA 94945

DENNEY, PENNY
PO BOX 505
GOLD BEACH, OR 97444

DODT, DAN
1556 REVERE AVE
SAN FRANCISCO, CA 94124

DOERING / GLADSTONE TRUST
PO BOX 1251
GUALALA, CA 95445-1251

DONEGAN, LAWRENCE C & SHERRI J
43 SUGARBUSH CT
OAKLEY, CA 94561

EWING, HAROLD JESSE
45321 SEQUOIA RD
GUALALA, CA 95445

FINDLAY, KIRSTEN, THE KIRSTEN
FINDLAY REVOCABLE LIVING TRUST
2220 CURTIS ST
BERKELEY, CA 94702

FREDERICKSON, ANDREA
4902 W. COUNTRY GABLES DRIVE
GLENDALE, AZ 85306

FREDERICKSON, ANDREA
3723 W. MONTE CRISTO
PHOENIX, AZ 85053-3700

FRIEDMAN, JUDITH
PO BOX 131
SONOMA, CA 95476

GILLIHAN, ANDREW THOMAS
2701 NW 13TH ST
REDMOND, OR 97756

GREASON, ELIZABETH
1421 BUTTERFIELD RD
SAN ANSELMO, CA 94960

HALL, LAUREN CHRISTINE, TRUSTEE OF THE
KATHRYN WILLIAMS STEWART TRUST
C/O HOPKINS AND CARLEY
ATTN: MONIQUE JEWETT-BREWSTER
70 S. 1ST STREET
SAN JOSE, CA 95113

HALLIN, KATHLEEN
430 ESTADO WAY
NOVATO, CA 94945

HAYDON, SHANTI
8604 W 84TH ST
OVERLAND PARK, KS 66212

HOLTZ, ROBERT H
1259 ROYAL OAK TER, APT. A
NOVATO, CA 94947

ILLIEN, HENRI
2220 CURTIS ST
BERKELEY, CA 94702

ITO, MOMOKO
5673 KELSEY PLACE
ROHNERT PARK, CA 94928-5012

ITO, MOMOKO
5574 KENNEDY PLACE
ROHNERT PARK, CA 94928

JACKSON, ELLEN M
MTC #34750
10 JEANNETTE PRANDI WAY, APT. 101
SAN RAFAEL, CA 94903

JACKSON, SUNNY
1200 HAGEMANN LN
ROHNERT PARK, CA 94928

LARUSSO, SANDRA KRUSE
1713 MANZANITA DR
PETALUMA, CA 94954

LEONARDI-HOLZAPFEL, JULIA
4020 SHADOWHILL DR
SANTA ROSA, CA 95404

LEONARDI-HOLZAPFEL, JULIA
2415 ORLEANS STREET
SANTA ROSA, CA 95403

LI, VALENCE WAN YU
1190 NEILSON ST
ALBANY, CA 94706

MAZAR FAMILY LIVING TRUST,
WALTER OR VIVIAN MAZAR
801 QUAIL DR
MCALESTER, OK 74501

MCKEE, BENJAMIN
C/O BRUTZKUS GUBNER
ATTN: TALIN KESHISHIAN; STEVEN
GUBNER
21650 OXNARD ST., STE. 500
WOODLAND HILLS, CA 91367

MEMISEVIC, ALAN PERSONAL
REPRESENTATIVE, MIDHAT MEMISEVIC
345 WASHINGTON ST
VALLEJO, CA 94590

MENEFE, CURT
C/O BRUTZKUS GUBNER
ATTN: TALIN KESHISHIAN; STEVEN
GUBNER
21650 OXNARD ST., STE 500
WOODLAND HILLS, CA 91367

MERRON, KEITH
LEADERSHIP PATHWAYS
110 GLEN PARK AVE
SAN RAFAEL, CA 94901

MORA, JUAN C
35 WALNUT CIRCLE
ROHNERT PARK, CA 94928

MUZICK, TERESA
P O BOX 3729
OAKLAND, CA 94609

NANTEL, VIVIANNE
107 DEER HOLLOW RD
SAN ANSELMO, CA 94960

PERRY, JANTJE J
18 MARGARITA TERRACE
NOVATO, CA 94947

PETRINI, SHERRY LYNN SPECIAL NEEDS
TRUST, ATTN: SYDNEY E. FAIRBAIRN,
ESQ.
269 POSADA DEL SOL
NOVATO, CA 94949

PYSAREVA, IRYNA
13518 NOBILIO ST
VENICE, FL 34293

PYSAREVA, IRYNA
2333 SUNSET DRIVE, H-36
BRADENTON, FL 34207

REED, GWYN L
1433 SUNDALE RD
EL CAJON, CA 92019-9201

REINHARDT, ELKE
REINHARDT, CHERYL
371 EL FAISAN DR
SAN RAFAEL, CA 94903

REVELLE, THOMAS W
2939 AINA LANI DRIVE
MAKAWAO, HI 96768-9676

REYNOLDS, MATTHEW
C/O BRUTZKUS GUBNER
ATTN: TALIN KESHISHIAN; STEVEN
GRUBNER
21650 OXNARD ST., STE 500
WOODLAND HILLS, CA 91367

RIENECKER, DEAN
1713 MANZANITA DR
PETALUMA, CA 94954

RMJ WILSON FAMILY LLC
ATTN: ROBERT WILSON JR.
2850 CAZADERO DR
CARLSBAD, CA 92009

RMJ WILSON FAMILY LLC
ATTN: ROBERT WILSON JR.
162 DEL MAR SHORES TERRACE
SOLANO BEACH, CA 92075

ROJAS, GEOFFREY R
C/O BRUTZKUS GUBNER
ATTN: TALIN KESHISHIAN; STEVEN
GRUBNER
21650 OXNARD ST STE 500
WOODLAND HILLS, CA 91367

RUSSELL, EDWARD AND LISA, ALAN W
ZIFF TRUST
ALAN W ZIFF TRUST
ATTN: ALAN W ZIFF
2349 HILLTOP CT
SANTA ROSA, CA 95404

RUSSELL, EDWARD AND LISA
543 VISITACION AVENUE
SAN FRANCISCO, CA 94134

SALO, CHRISTY
380 LAUREL STREET
WILLITS, CA 95490

SCHILD, ROBIN L
814 CARMEL AVE
ALBANY, CA 94706-9470

SCHWARTZ, JEFFREY AND MARNIE
NIEVES
C/O BRUTZKUS GUBNER
ATTN: TALIN KESHISHIAN; STEVEN
GRUBNER
21650 OXNARD ST., STE 500
WOODLAND HILLS, CA 91367

SEAGO, JOHN
50 FRANSON ROAD
PORT ANGELES, WA 98362-9106

SEIPP TRUST, MICHELE SEIPP
PO BOX 5195
BEVERLY HILLS, CA 90209

SERMON, DANIEL WAYNE
C/O BRUTZKUS GUBNER
ATTN: TALIN KESHISHIAN; STEVEN
GRUBNER
21650 OXNARD ST., STE 500
WOODLAND HILLS, CA 91367

SERMON, TAYLOR N
C/O BRUTZKUS GUBNER
ATTN: TALIN KESHISHIAN; STEVEN
GRUBNER
21650 OXNARD ST., STE 500
WOODLAND HILLS, CA 91367

SHI, WEN OR FUYUAN SHI
1910 BUCKINGHAM LANE
PETALUMA, CA 94954

SIMKO, NOREEN
PO BOX 276
MILL VALLEY, CA 94942

SOLOMON, EVE GERSON TRUST
C/O EVE GERSON TRUSTEE
2528 GREENWICH ST
SAN FRANCISCO, CA 94123

TRA 401K PENSION PLAN,
C/O THEODORE REICH
23 KATRINA LN
SAN ANSELMO, CA 94960

TURNER, POPE
C/O BRUTZKUS GUBNER
ATTN: TALIN KESHISHIAN; STEVEN
GRUBNER
21650 OXNARD ST., STE 500
WOODLAND HILLS, CA 91367

UJLAKI, PETER
1-18 YAMA-ASHIYA-CHO
ASHIYA HYOGO, 659-0082
JAPAN

VASCONCELLOS, THOMAS J AND JOAN
A VASCONCELLOS
9489 VINECREST ROAD
WINDSOR, CA 95492

VOGL, HANS PAUL
C/O FRANCES BENNETT WILLIAMS, POA
12 MILLWOOD CT
SAN RAFAEL, CA 94901

WHITEHURST, JOSIE T
2863 PENNYROYAL DR
CHICO, CA 95928

WILSON, SUSAN
10 JEANNETTE PRANDI WAY, #302
SAN RAFAEL, CA 94903-9490